

# Chesapeake Bay assimilation using Local Ensemble Transform Kalman Filter in the presence of forcing errors

Chesapeake Bay Modeling Symposium  
10 May 2010

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# Project Outline

**Long Term Goal:** create a Chesapeake Bay reanalysis and operational assimilation system

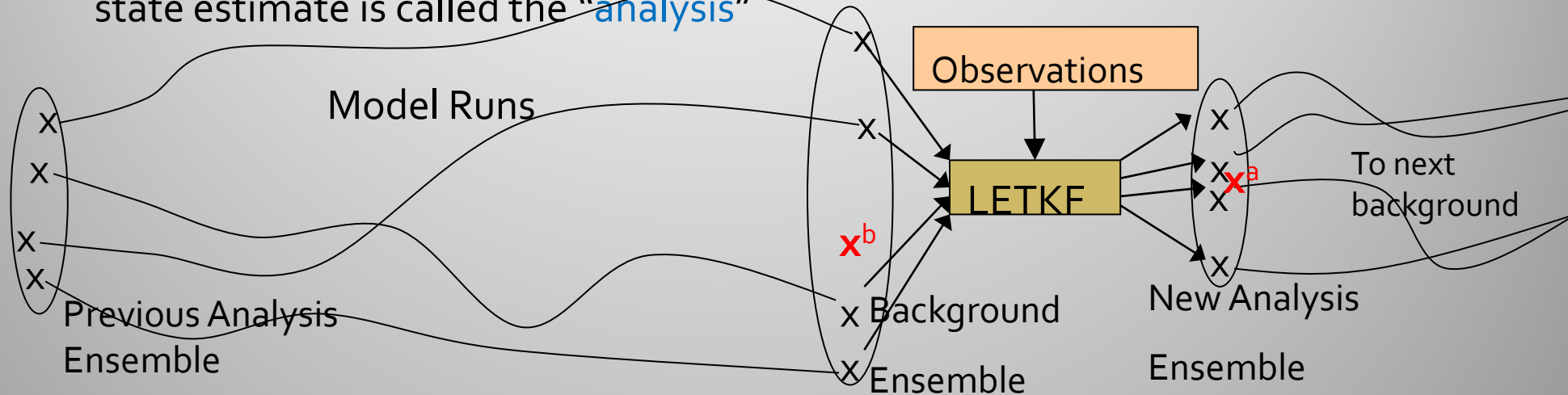
- assimilate satellite SST observations, in situ temperature and salinity observation, and any others
- ChesROMS model
- Local ensemble transform Kalman filter (LETKF)

**Current Results:** observing system simulation experiments (OSSEs)

- assimilating synthetic observations
- Correcting errors in wind forcing

# LETKF

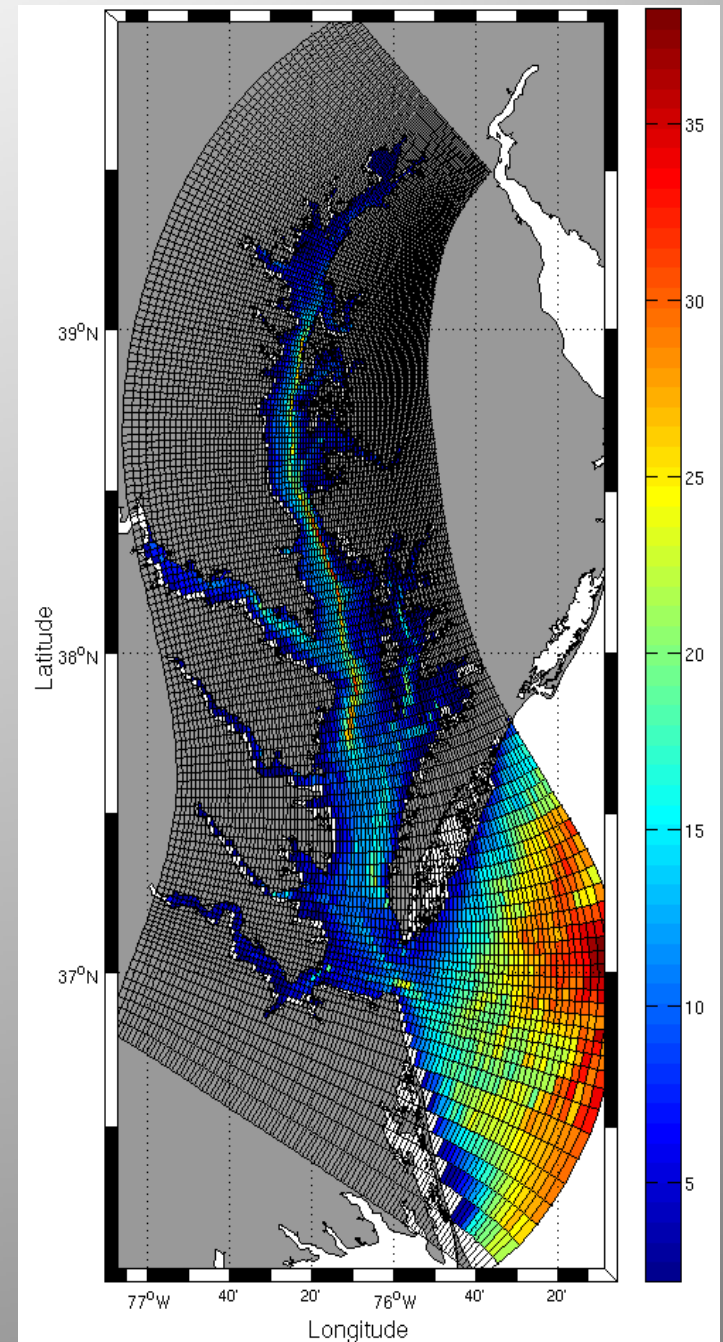
- Data assimilation combines observations with a previous state estimate, called the “background,” based on older observations and model dynamics. The resulting state estimate is called the “analysis”



- The ensemble characterizes the uncertainty:  $\tilde{\mathbf{P}}^a = \left[ (k-1)\mathbf{I} + \mathbf{Y}^{bT} \mathbf{R}^{-1} \mathbf{Y}^b \right]^{-1}$
- New analysis is created:  $\mathbf{x}^a = \mathbf{x}^b + \mathbf{X}^b \tilde{\mathbf{P}}^a \mathbf{Y}^{bT} \mathbf{R}^{-1} (\mathbf{y}^o - \mathbf{y}^b)$
- Along with an analysis ensemble:  $\mathbf{X}^a = \mathbf{X}^b \left[ (k-1)\tilde{\mathbf{P}}^a \right]^{1/2}$
- Analysis is local—analysis is performed independently at each grid point using observations in a neighborhood of that point
- In practice the covariance is artificially inflated to account for underestimation.

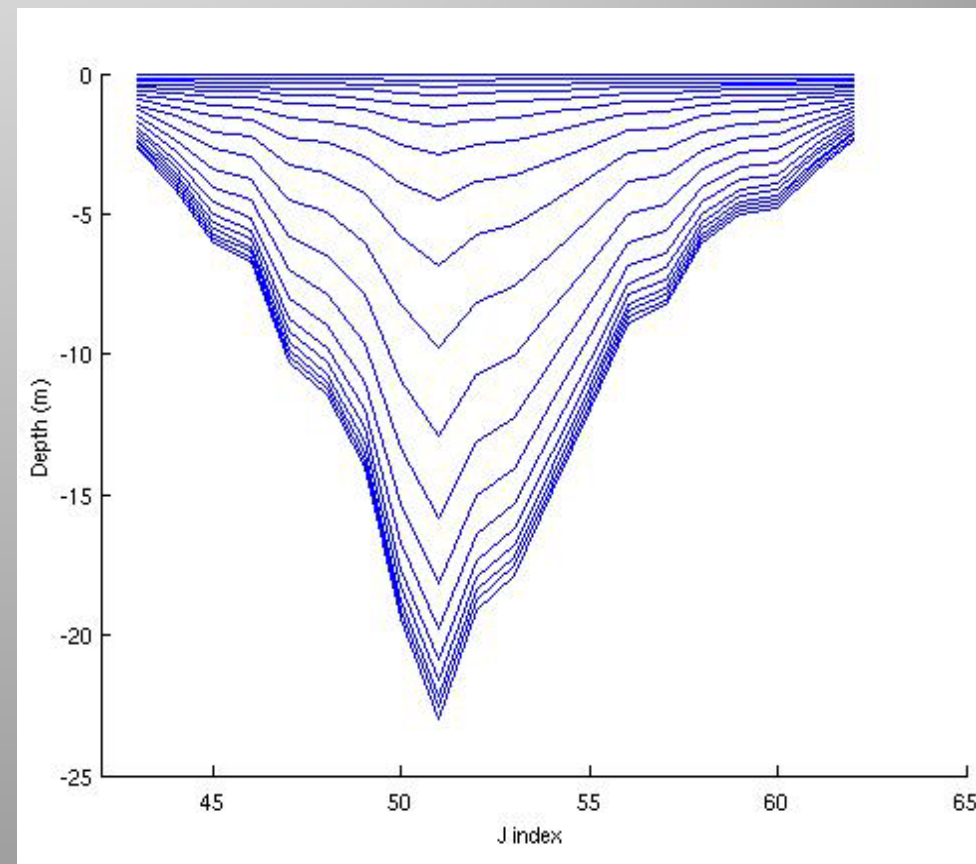
# ChesROMS

- Numerics are from the Regional Ocean Modeling System (ROMS)
- Curvilinear grid with 100x150x20 resolution
- Same bathymetry and forcing as ChesROMS (Xu et al., 2009)



# ChesROMS

- ⦿ Numerics are from the Regional Ocean Modeling System (ROMS)
- ⦿ Curvilinear grid with 100x150x20 resolution
- ⦿ Same bathymetry and forcing as ChesROMS (Xu et al., 2009)
- ⦿ Terrain following sigma coordinate



from Xu et al., 2009

# Experiment Setup

**Truth:** given by a year long model run for 1999

**Synthetic Observations:** created from the truth

- Adding random Gaussian error with prescribed standard deviation

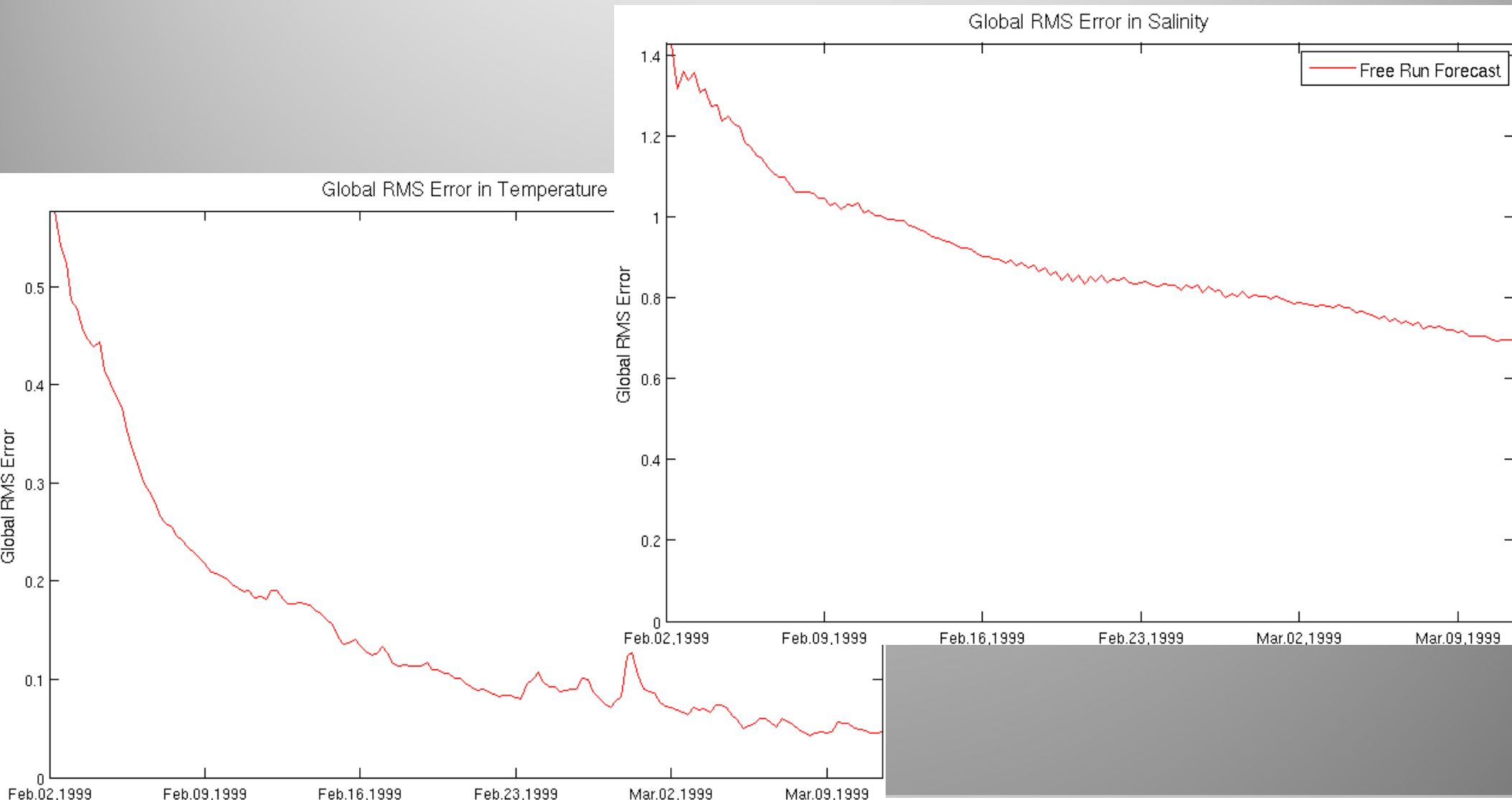
**Initial Ensemble:** 40 member ensemble is formed from the 40 states of the spinup

**Free Run Forecast:** model run without data assimilation from the initial ensemble average used as a benchmark

**Assimilation Interval:** 6 hours (4 assimilations/day)

# Perfect Model Convergence

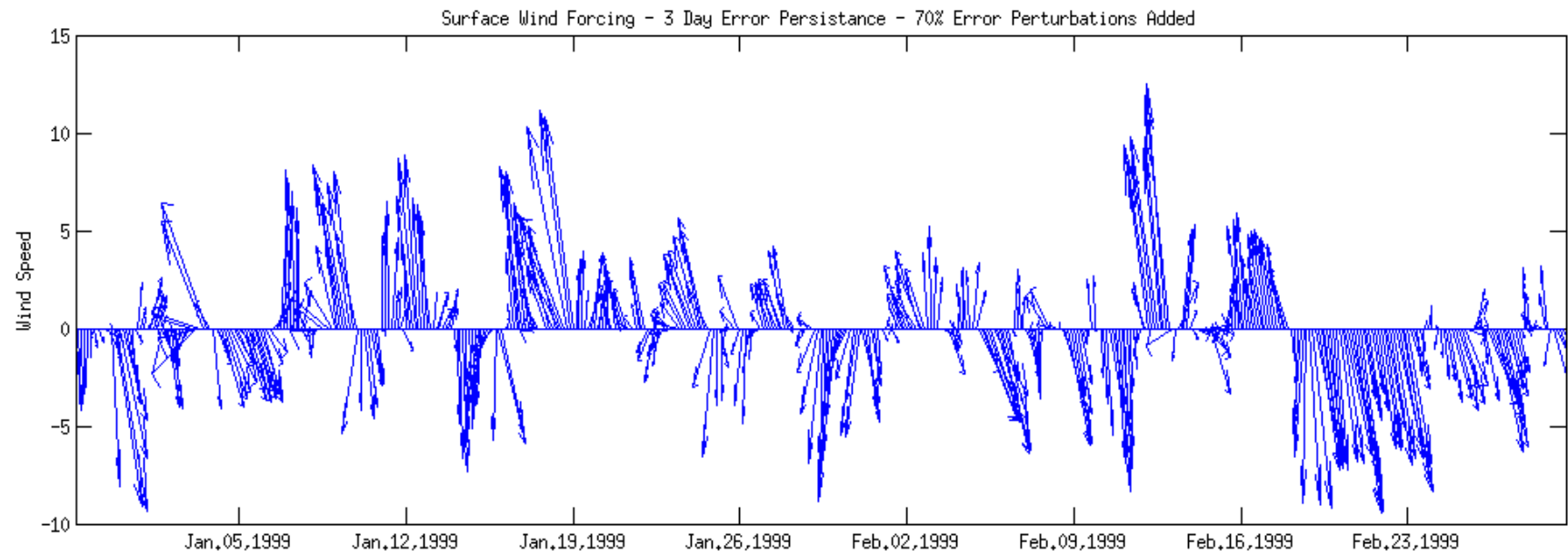
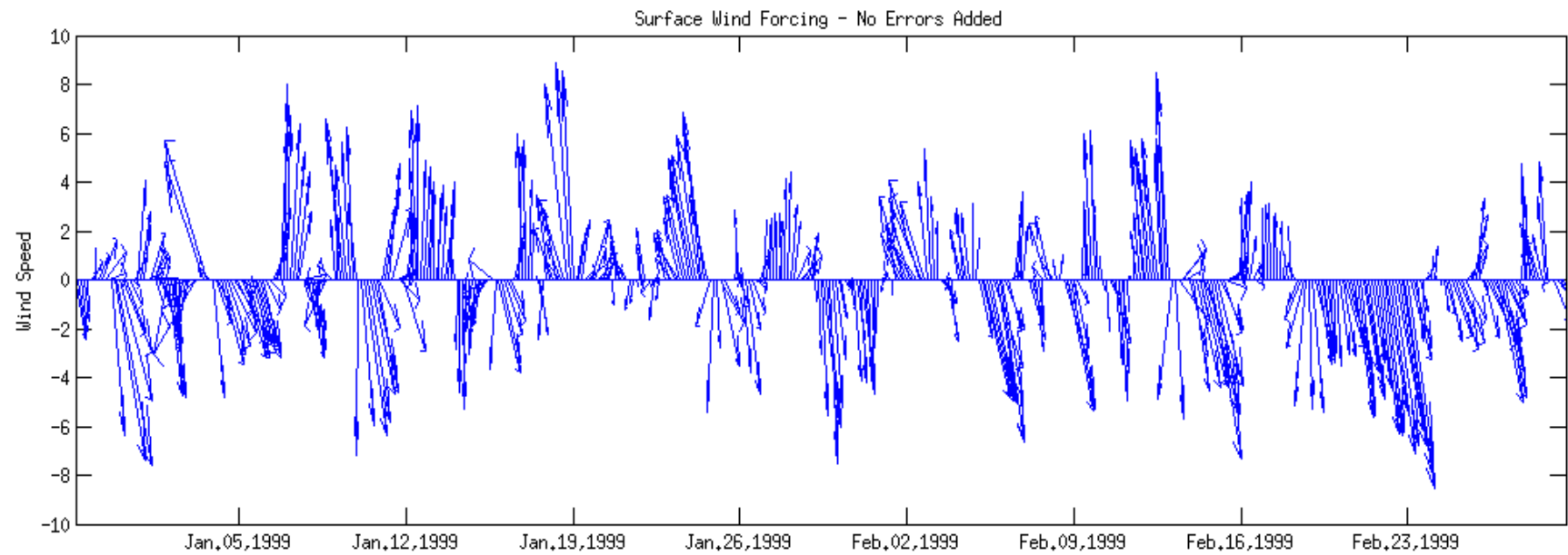
- With a perfect model (including perfect forcing) the Chesapeake Bay model converges even with incorrect initial conditions

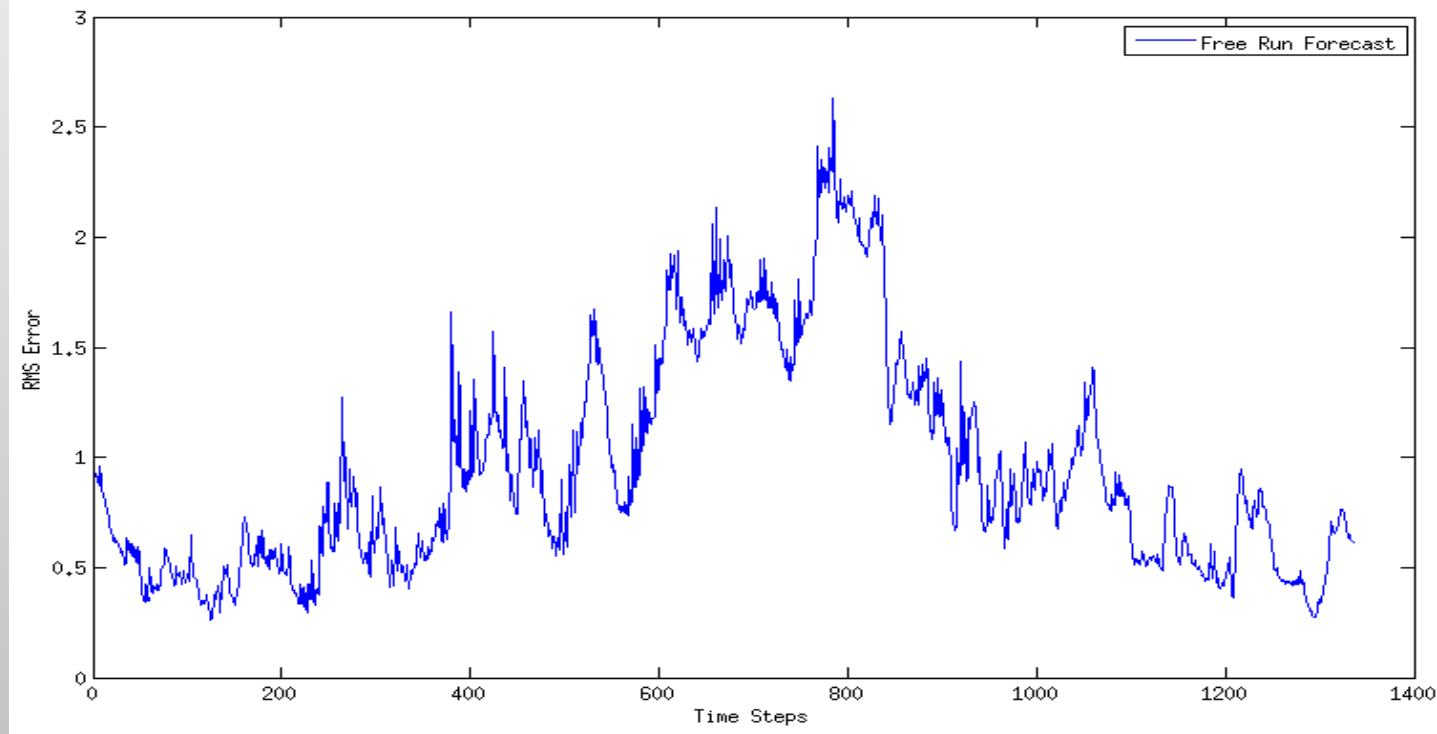


# Imperfect Forcing

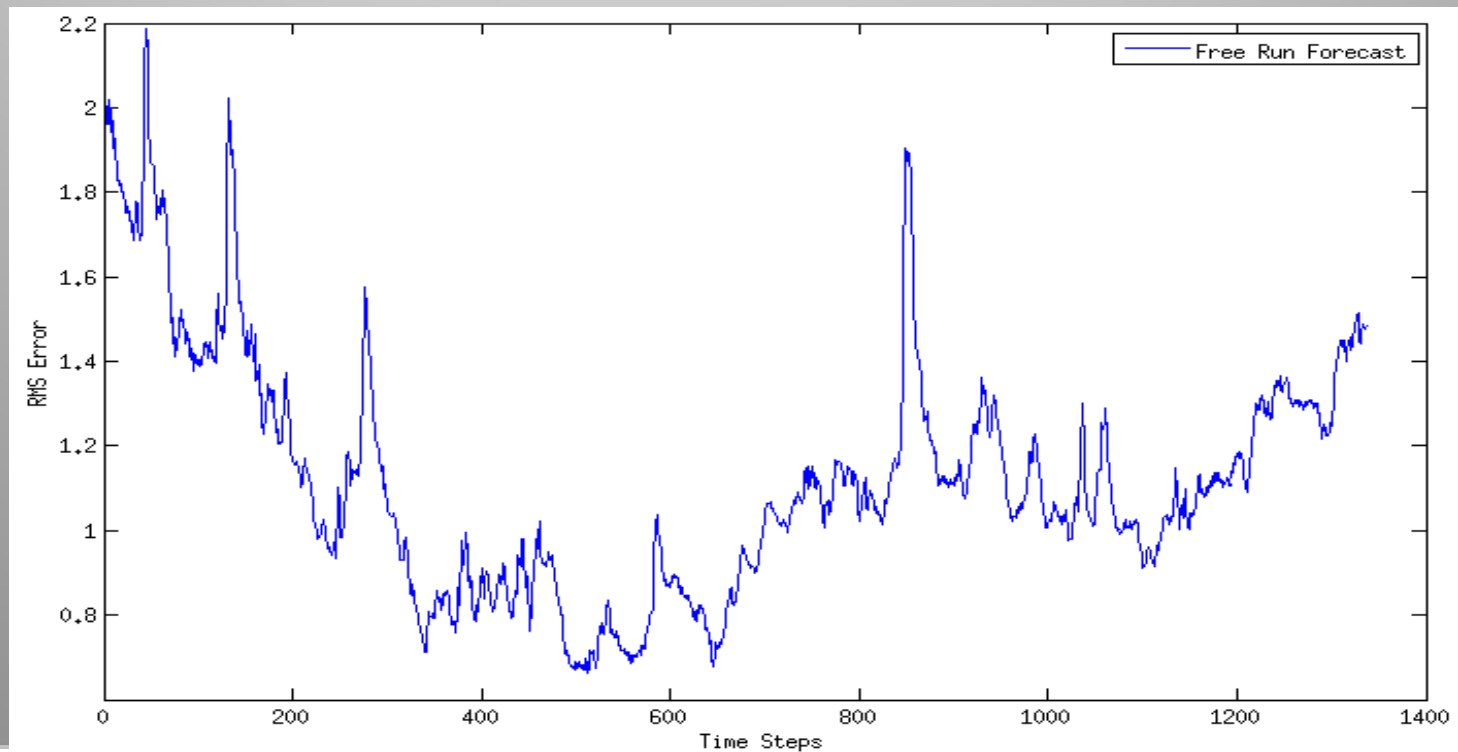
- In practice, the forcing fields are not perfect
- To visualize these errors, surface winds and pressure are perturbed
  - A forcing field is randomly selected from within 30 days of the year-long forcing field
  - The mean is subtracted out, leaving just the perturbation
  - 70% of this perturbation is added to the original forcing
  - This perturbation is allowed to persist for 3 days

# Imperfect Forcing





Both temperature and salinity fields now do not converge to the true state



# Experiment Setup

**Synthetic Observations:** temperature, salinity, and current observations every 5 grid points in horizontal and every level

**Observation Errors:** 0.1C, 0.1 psu, 0.01 m/s

**Inflation:** 4% multiplicative

**Forcing:** Same for each ensemble member

**Localization:**  $\sigma$  is 3 grid points in horizontal and 1 in vertical (out to 3  $\sigma$  is used)

**Assimilation Interval:** 6 hours (4 assimilations per day)

# Imperfect Single Forcing

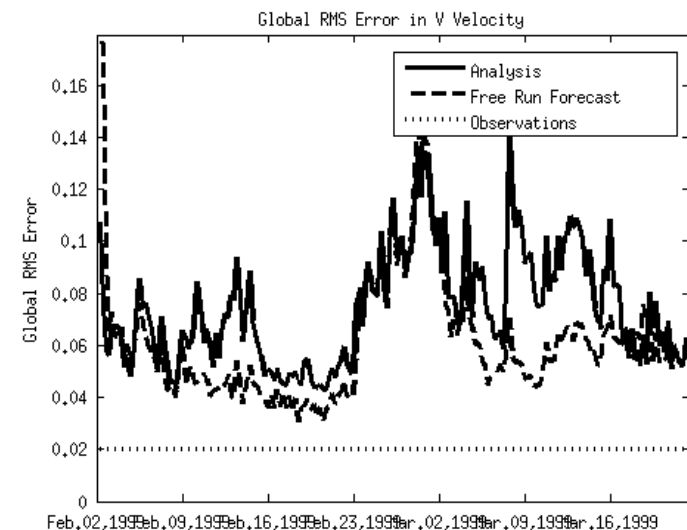
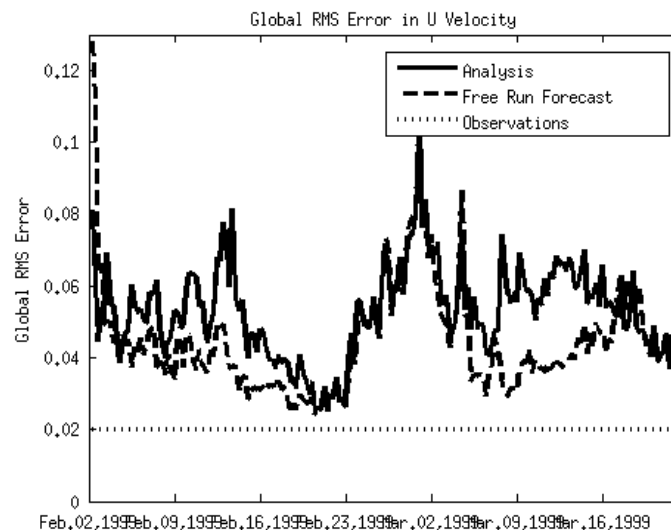
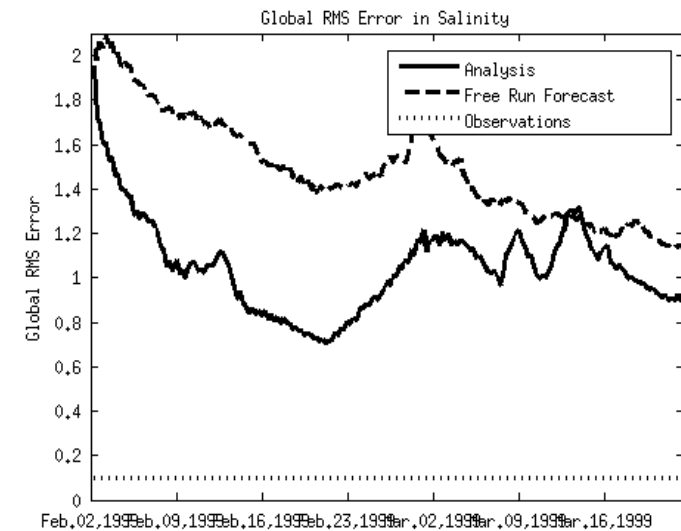
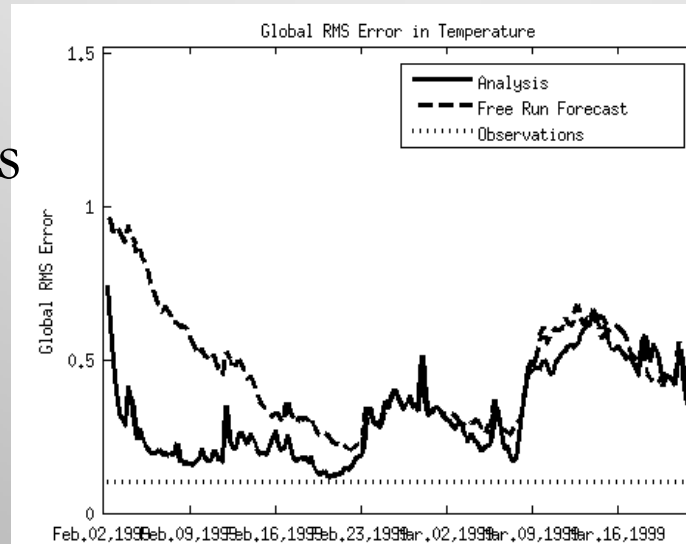
- However, the ensemble must contain information about the background uncertainty
- If only one forcing is used for the ensemble, the filter cannot make the proper adjustments
- For example, consider a 40 member ensemble, each with the same surface, river, and OBC forcing fields
- Initial ensemble comes from 40 states selected as every 12 hours from the spin-up
- 4% inflation is used, analysis every 6 hours

# Imperfect Single Forcing

40 member ensemble, each with the same surface, river, and OBC forcing fields

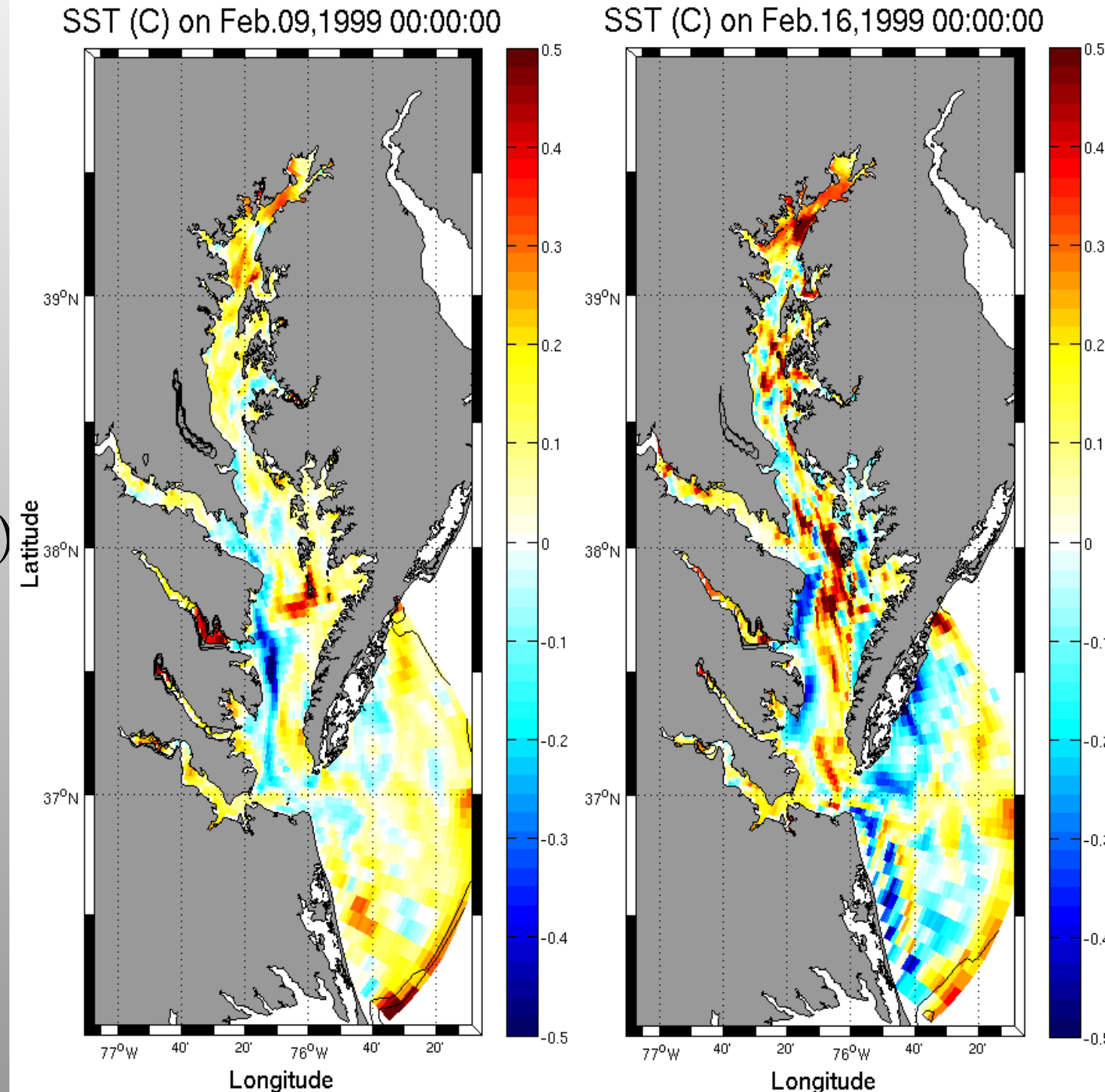
This analysis does not converge to the true state

After about 2 weeks, the analysis is converging to the free run



# Background Spread (contour) vs Error (shaded)

- ⊙ Ensemble is not representing the error
- ⊙ There is essentially no ensemble spread in temperature (smallest contour is 0.1)



# Background Spread (contour) vs Error (shaded)

☉ Same is true

in salinity

(smallest contour is

0.4)

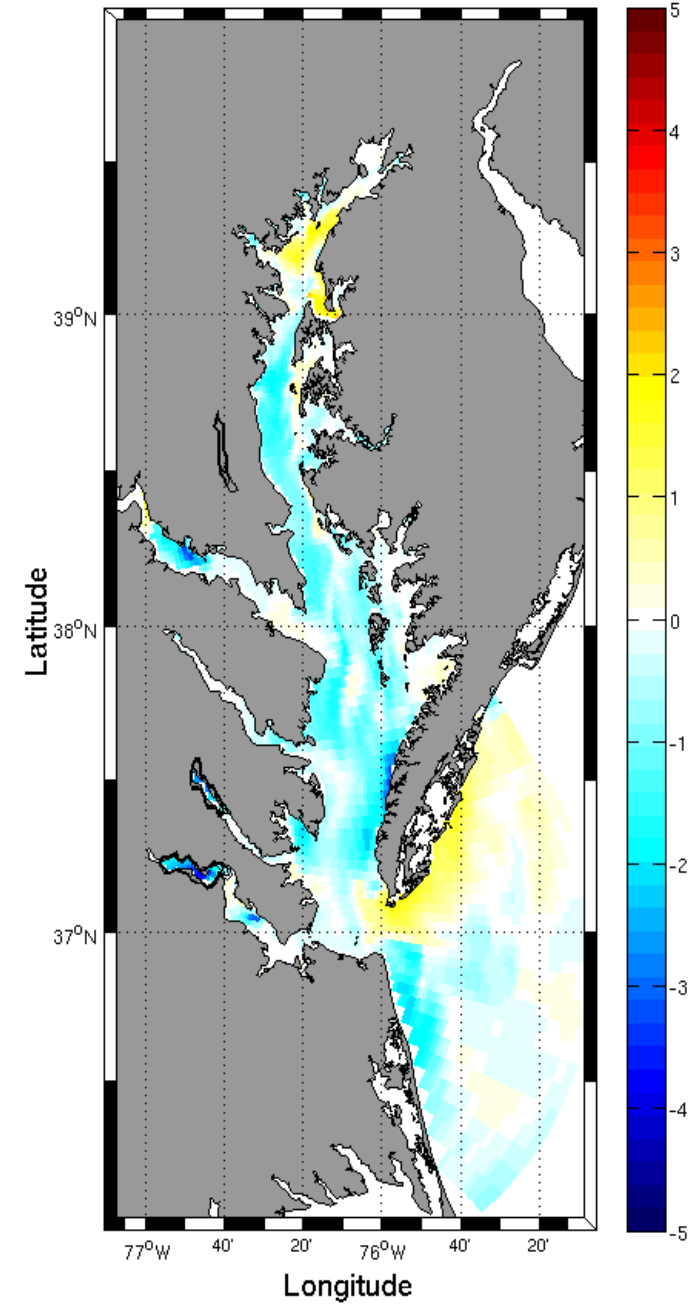
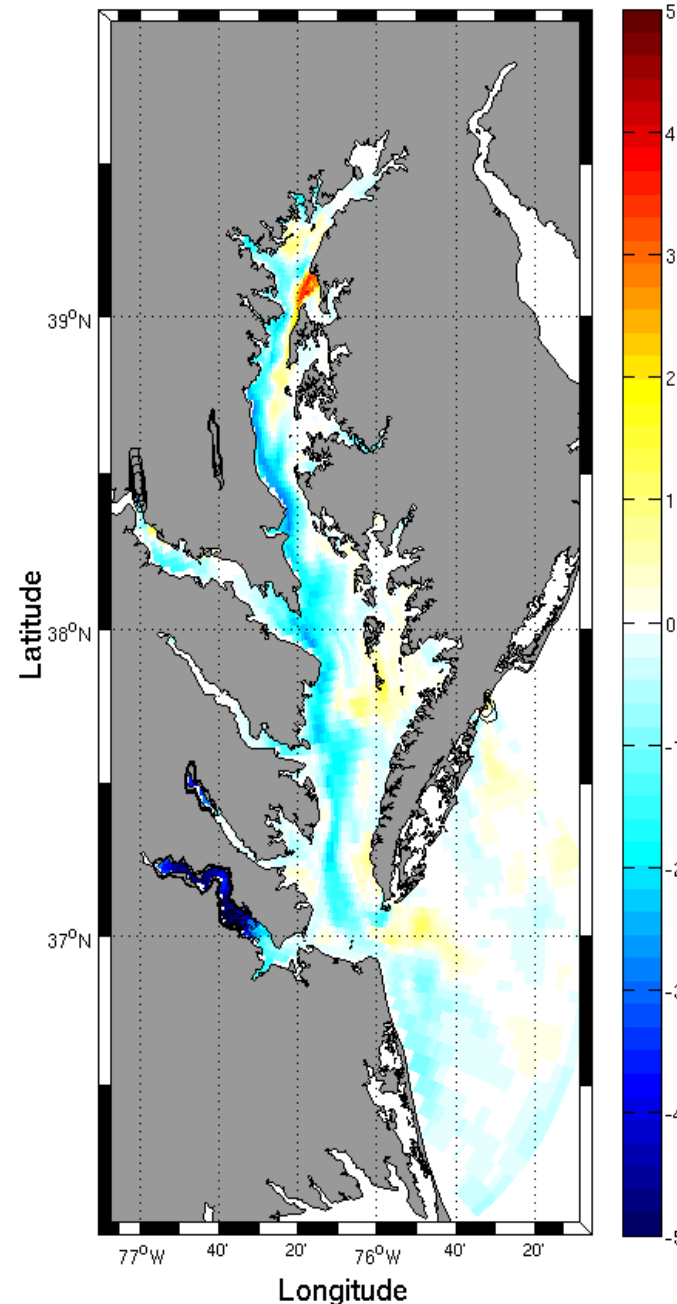
☉ Although error

appears to be

captured in the James

river

Surface Salinity (psu) on Feb.09,1999 00:00:Surface Salinity (psu) on Feb.16,1999 00:00:0

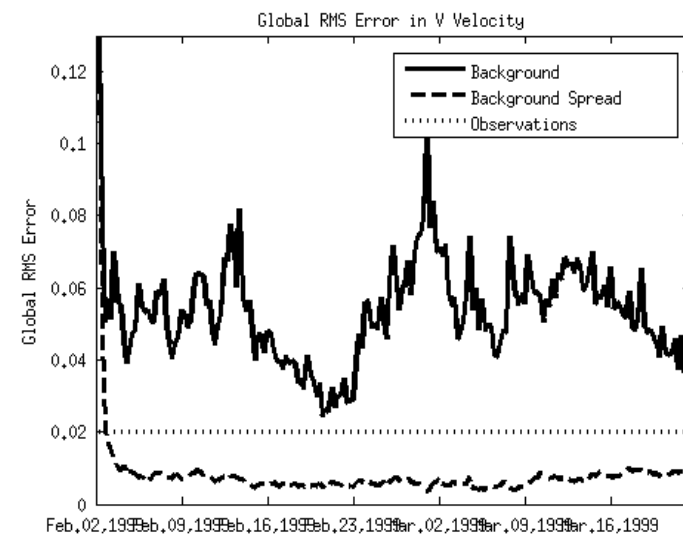
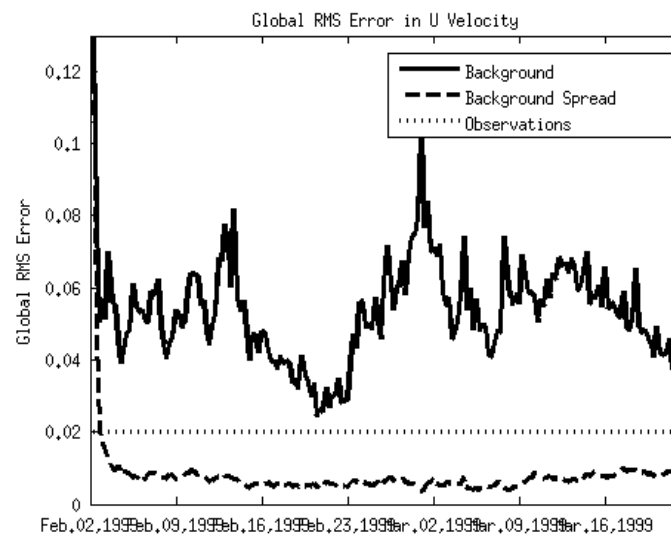
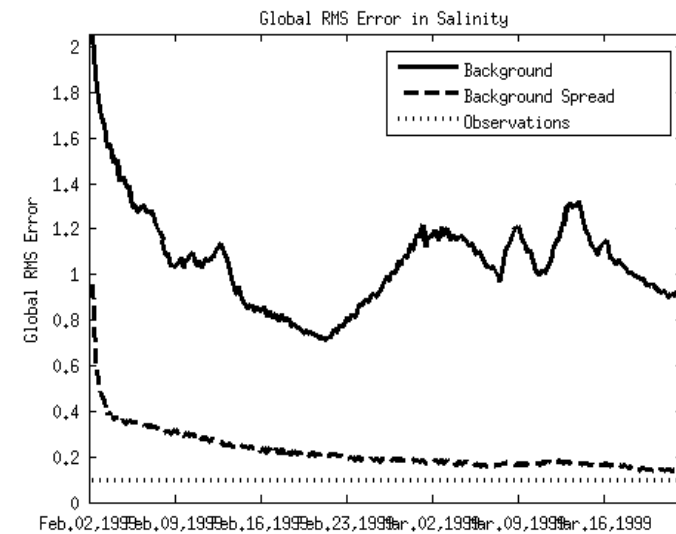
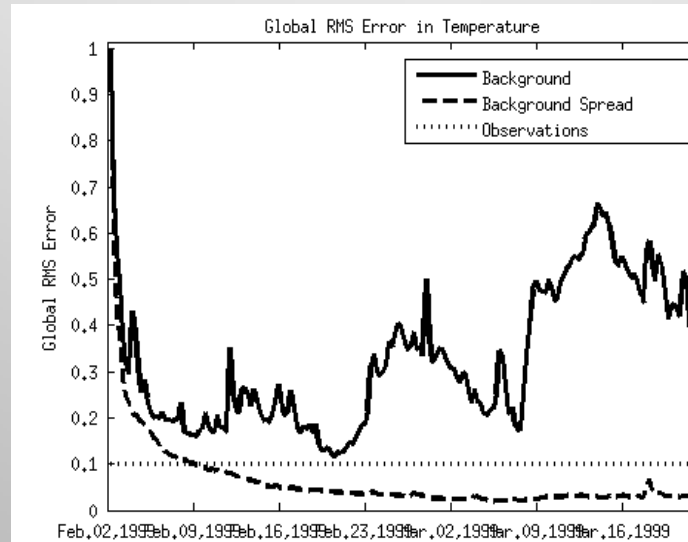


# Imperfect Single Forcing

⦿ The problem is that the ensemble spread is not accurately characterizing the uncertainty in the background

⦿ Instead, it converges to the free run state

⦿ Then the filter is no longer able to make adjustments to the observations



# Ensemble Forcing Setup

**Synthetic Observations:** temperature and salinity every 5 grid points in horizontal and every level

**Observation Errors:** 0.3C, 0.5 psu

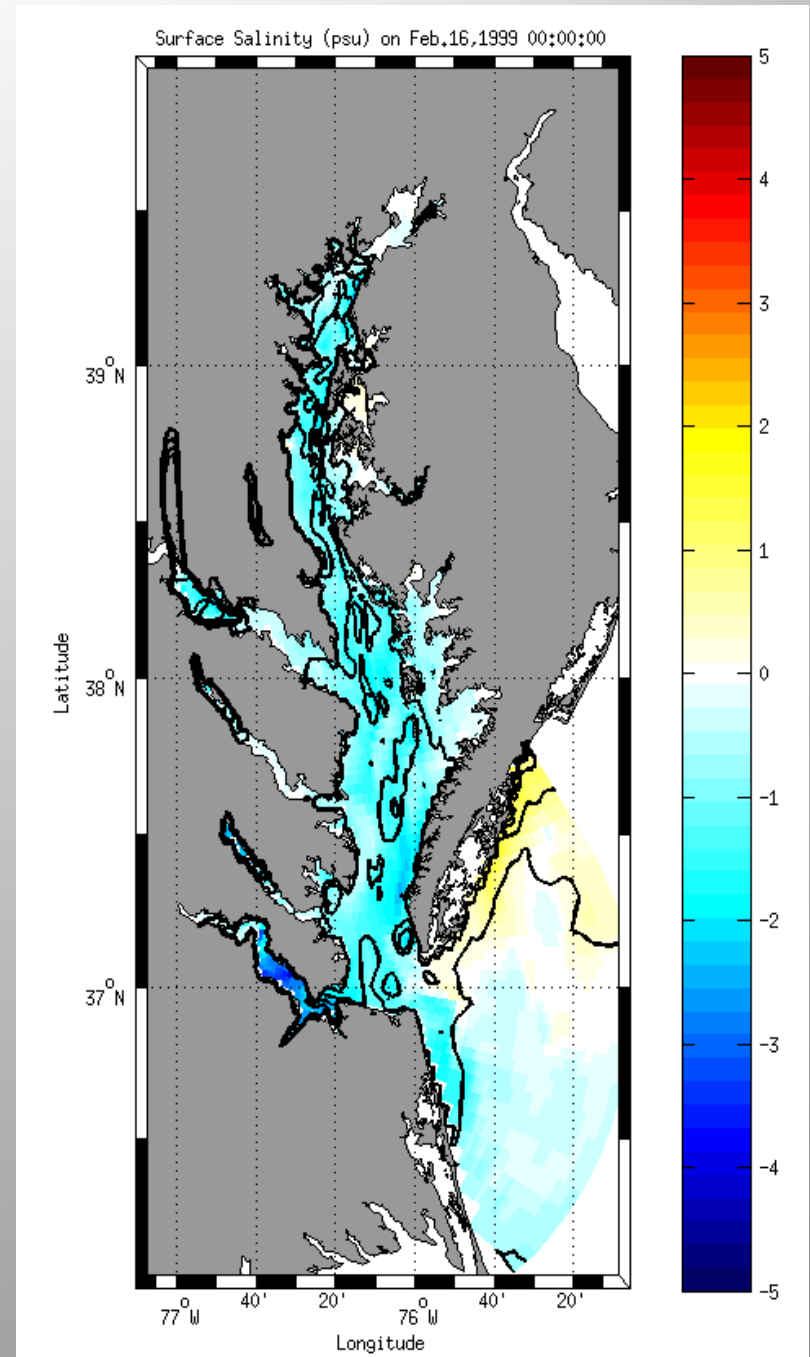
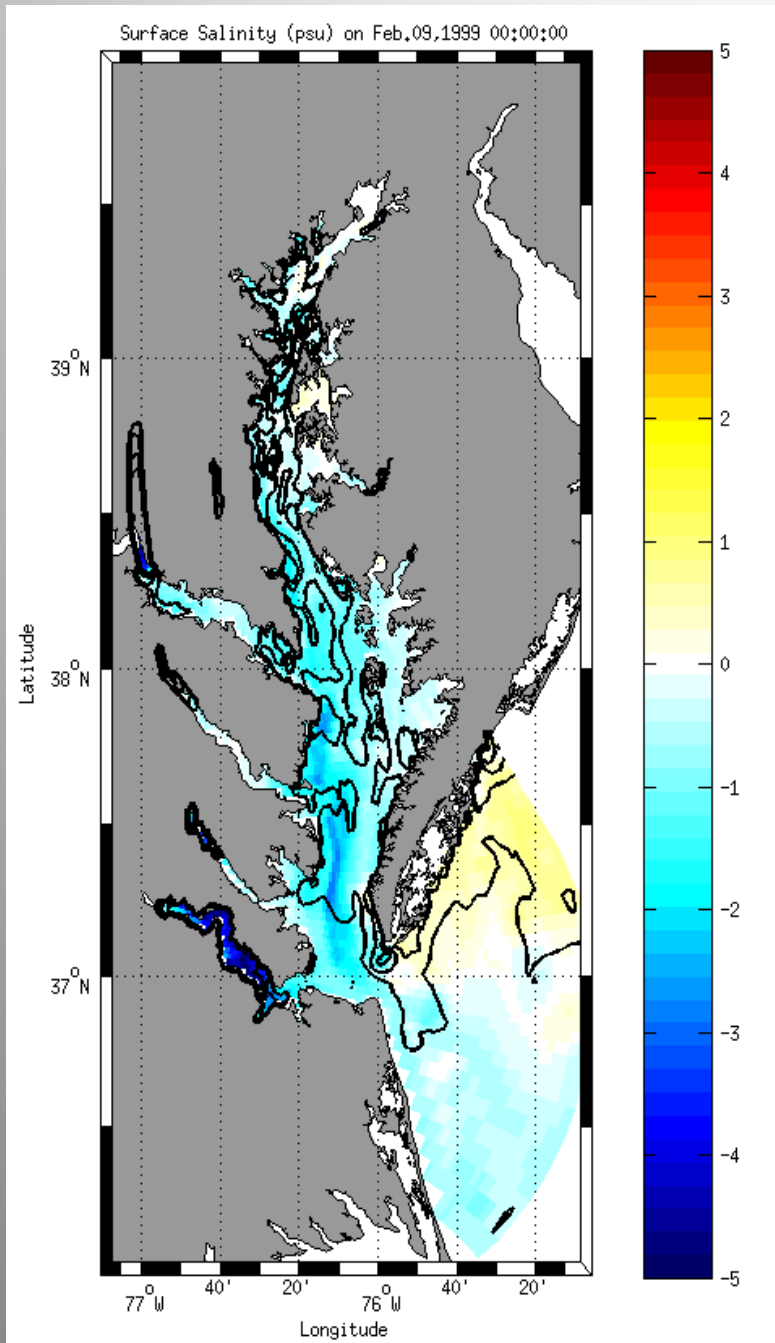
**Inflation:** 4% multiplicative

**Forcing:** Different wind forcing for each ensemble member

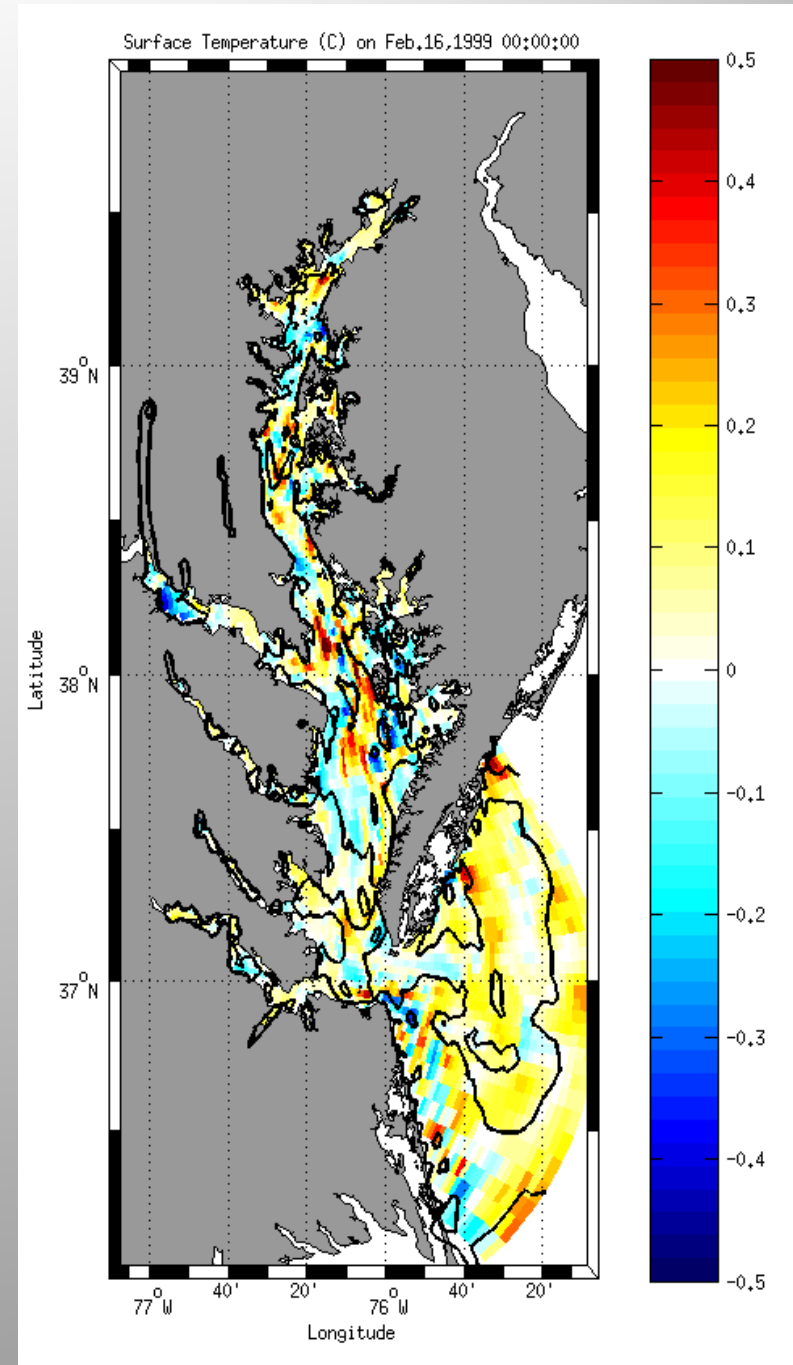
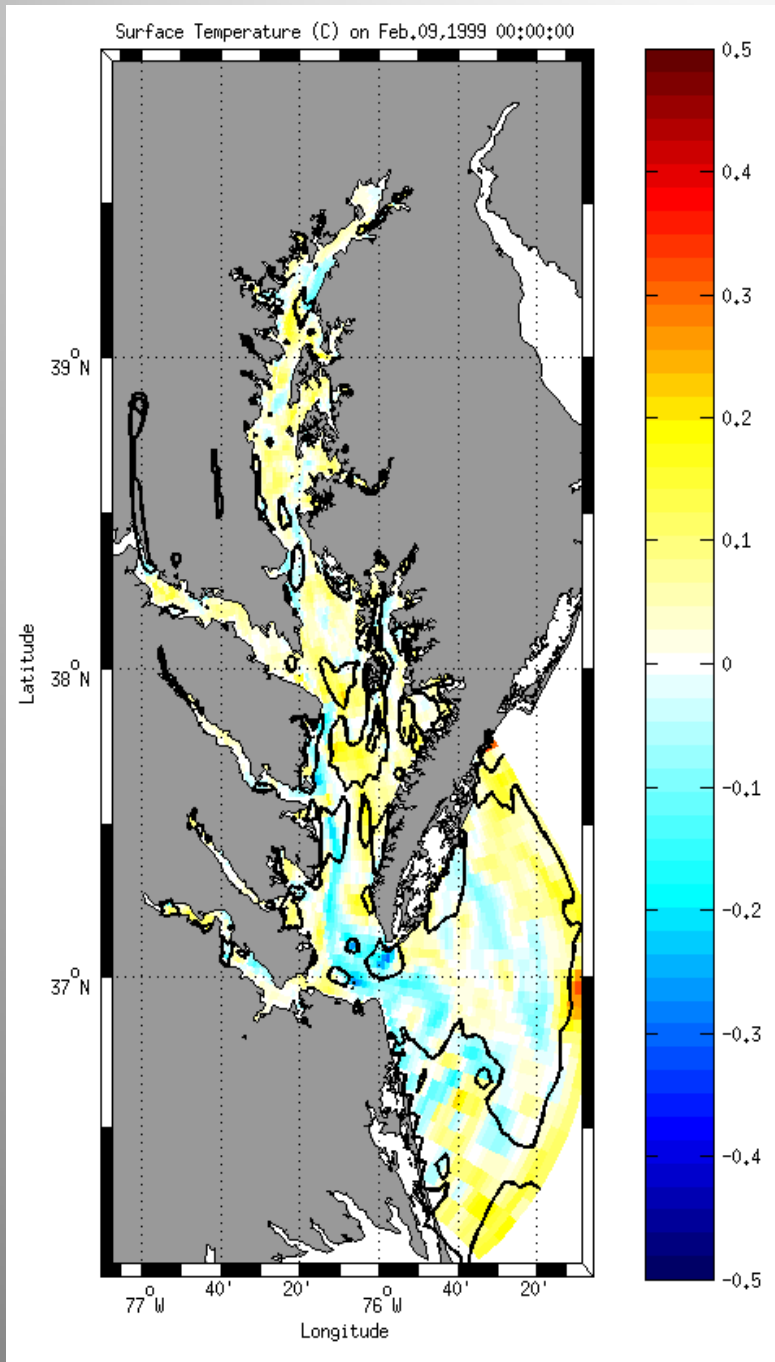
**Localization:**  $\sigma$  is 3 grid points in horizontal and 1 in vertical (out to 3  $\sigma$  is used)

**Assimilation Interval:** 6 hours (4 assimilations per day)

# Background Spread (contour) vs Error (shaded)



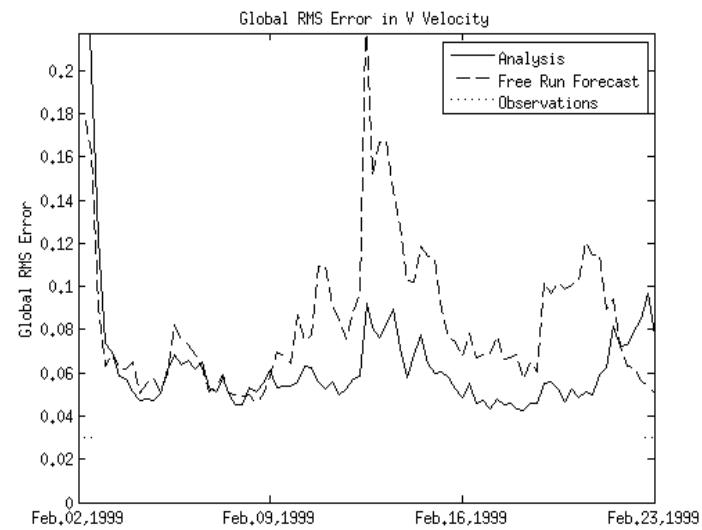
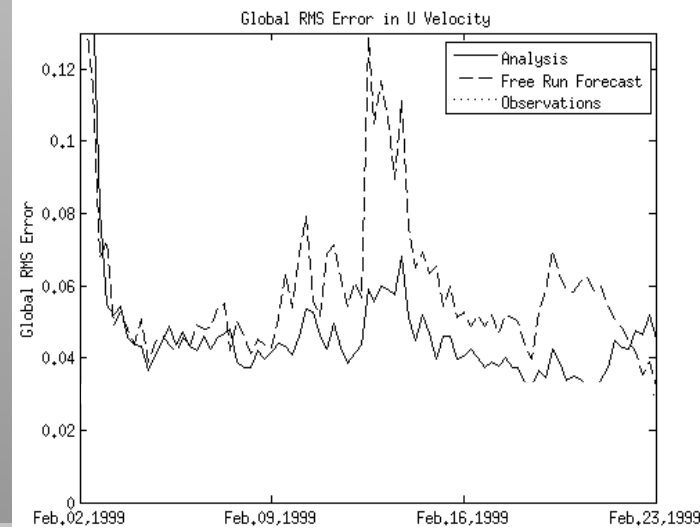
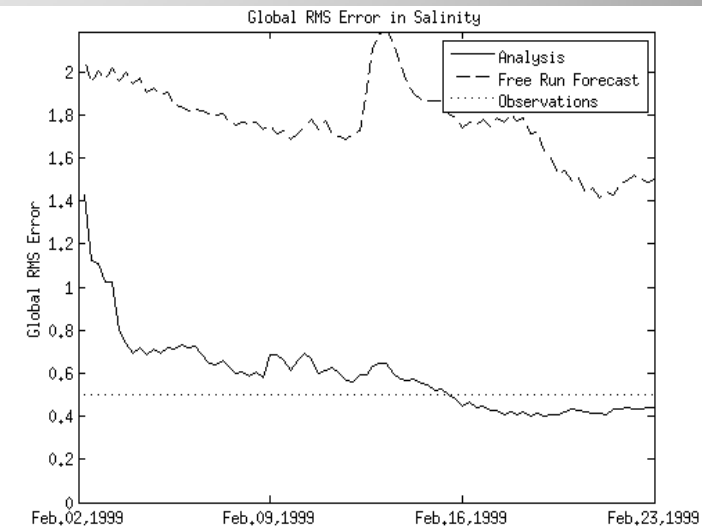
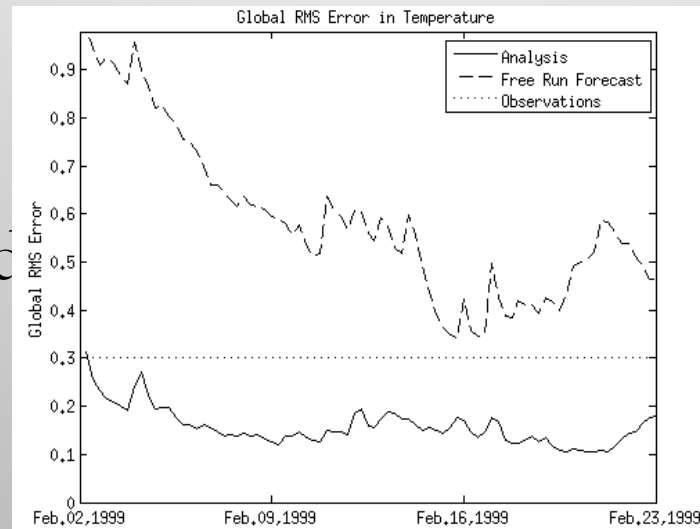
# Background Spread (contour) vs Error (shaded)



# Ensemble Forcing

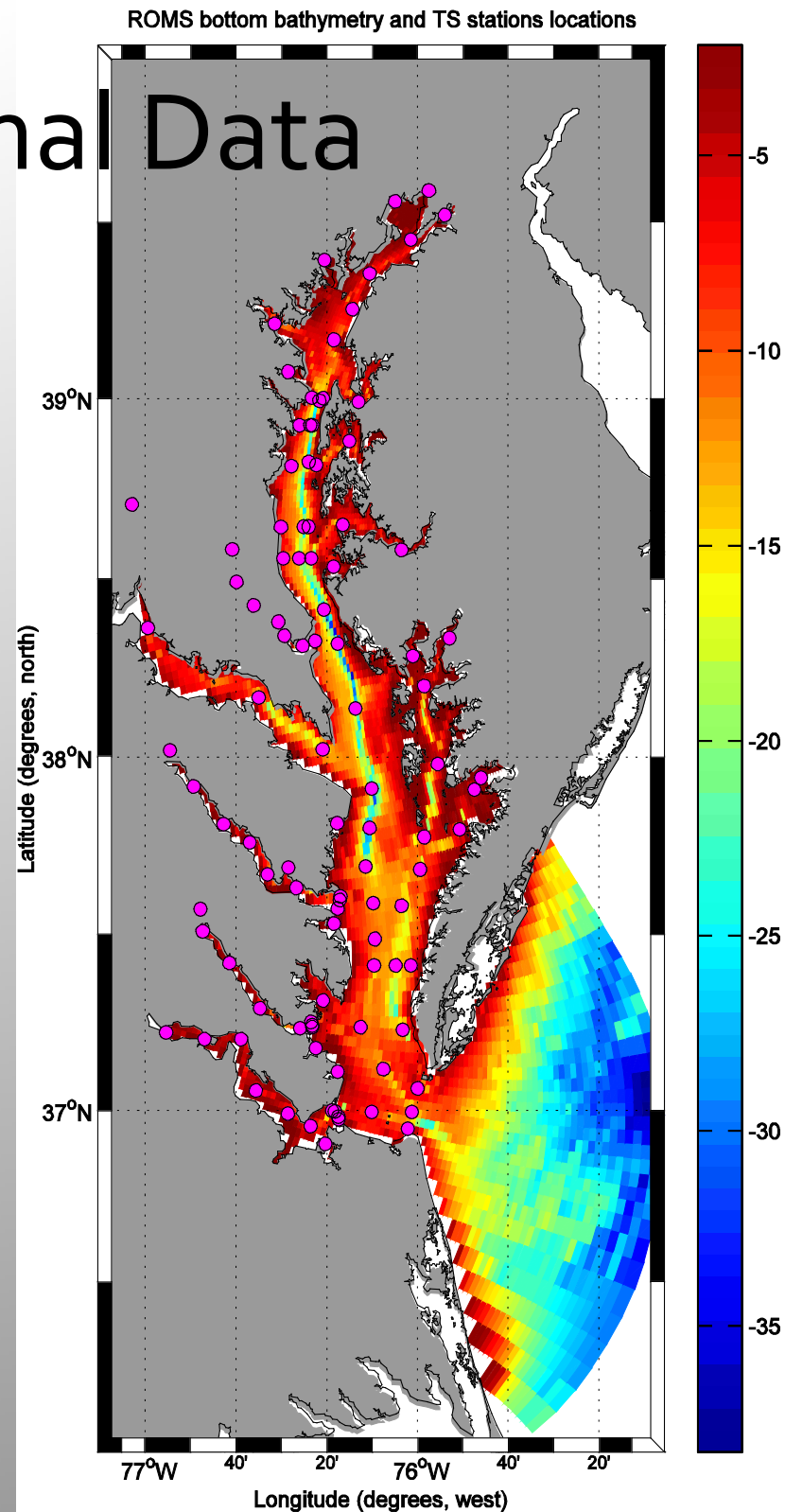
⊙ The temperature and salinity converge to below the observation error

⊙ The currents, which have no obs, are still corrected and improved



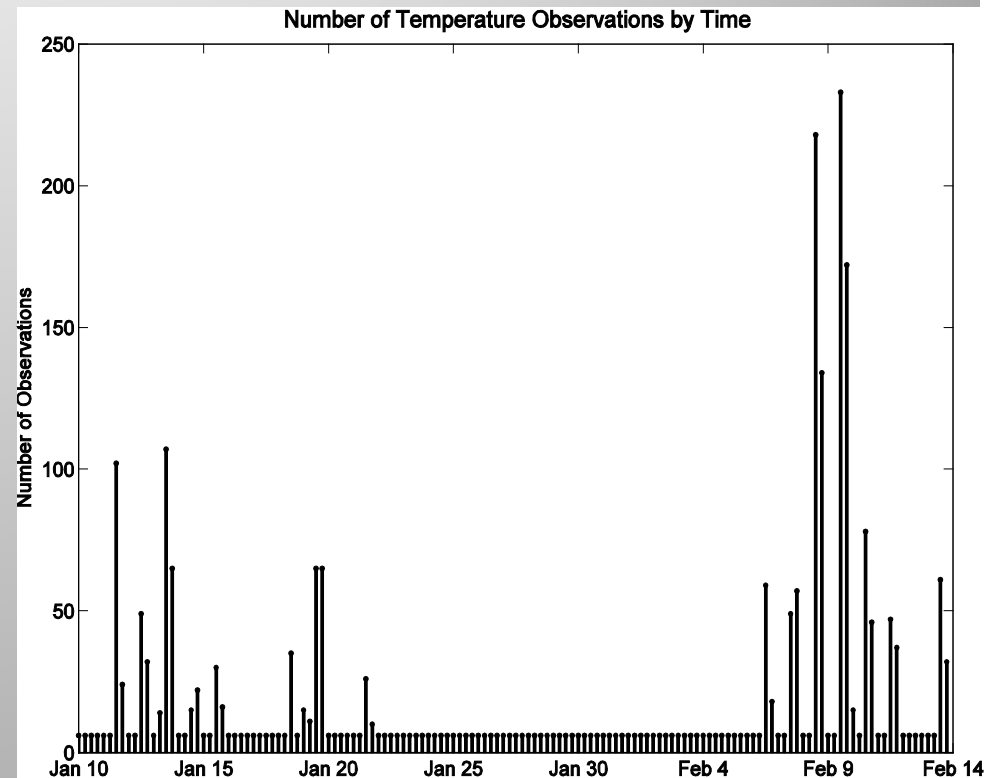
# Real Observational Data

- Buoy observations are available from the Chesapeake Bay Program (CBP) and the Chesapeake Bay Observing System (CBOS)
- 6 CBOS and 120+ CBP stations report temp. and salt. profiles



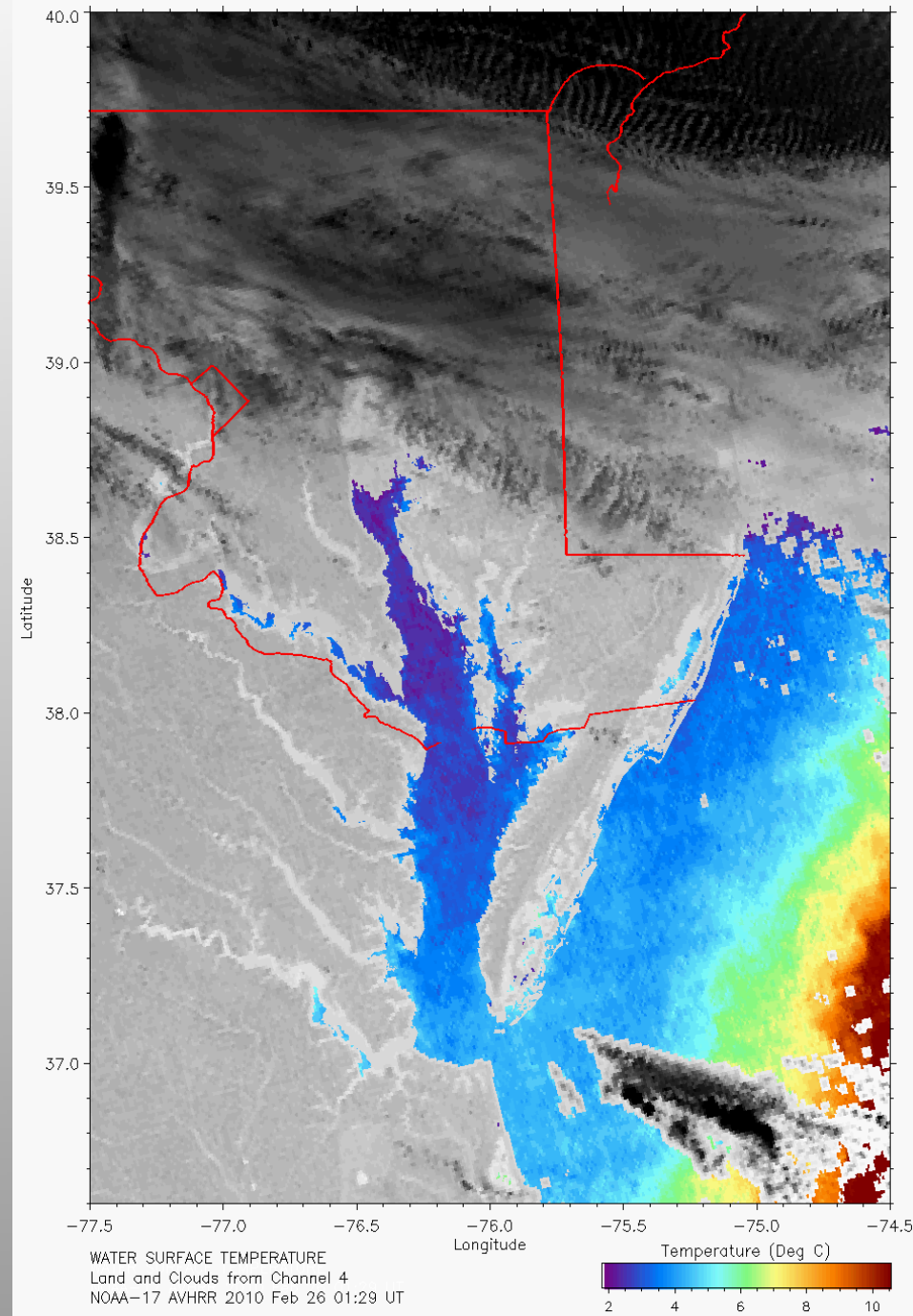
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- 6 CBOS and 120+ CBP stations report temp. and salt. profiles
- CBOS stations report every 6-30 minutes, CBP report every 2 weeks-1 month
- AVHRR gives 1.1km SST obs daily or better at an error of  $0.5^{\circ}\text{C}$



# Experiment Setup

**Synthetic Observations:** SST at every grid point, salinity at closest grid point to station observations

**Observation Errors:** 0.5 C, 0.6 psu

**Inflation:** 4% multiplicative

**Forcing:** Different wind forcing for each ensemble member

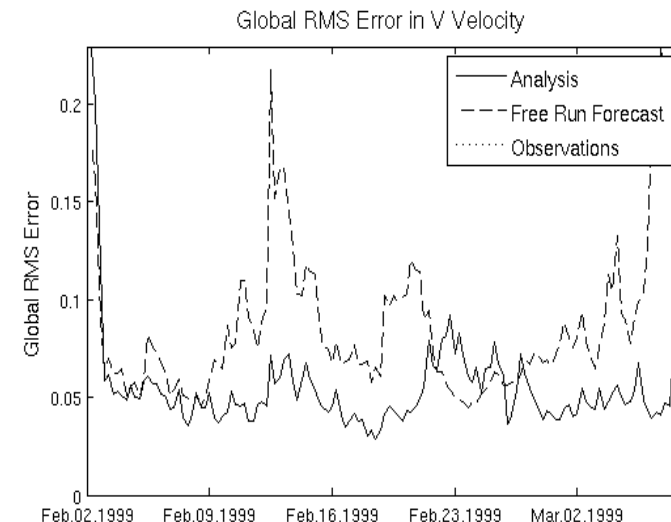
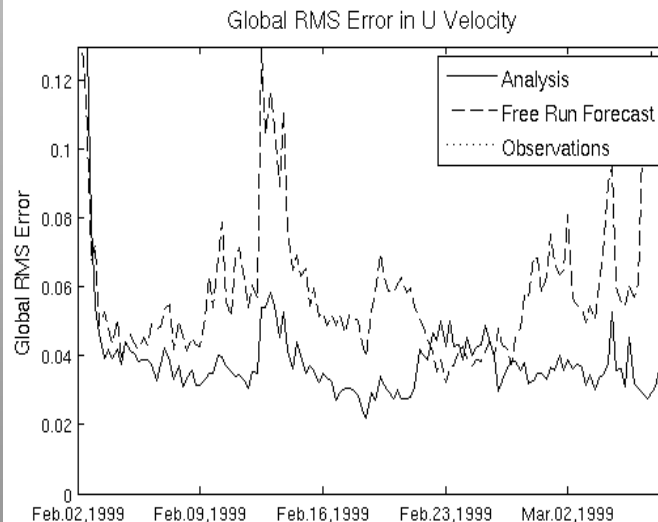
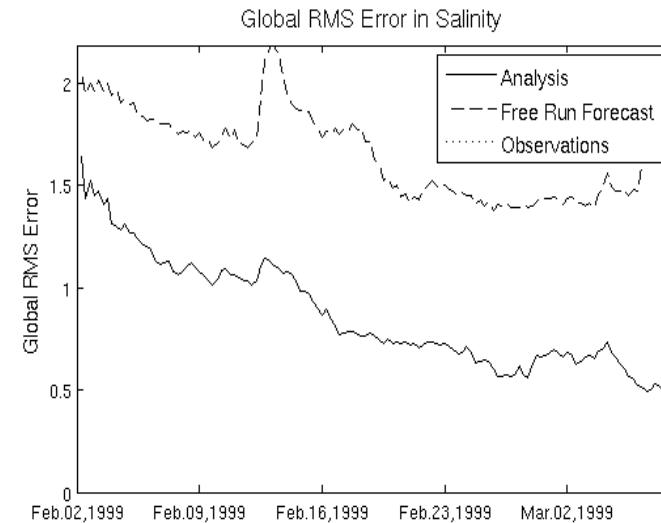
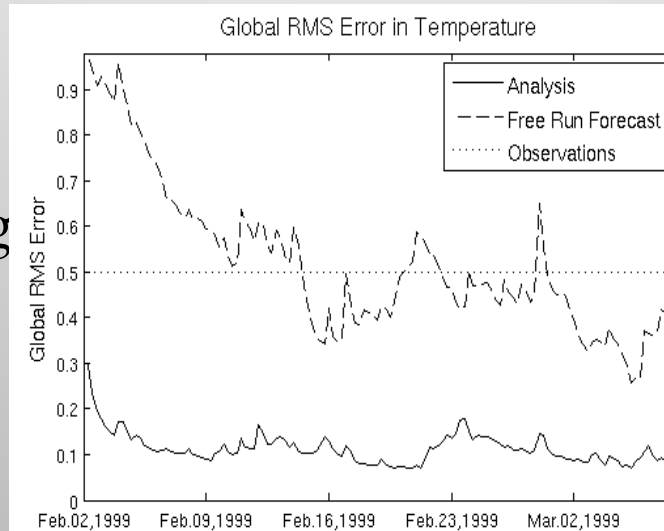
**Localization:**  $\sigma$  is 3 grid points in horizontal and 7 in vertical (out to 3  $\sigma$  is used)

**Assimilation Interval:** 6 hours (4 assimilations per day)

# Only SST Observations

- Only assimilating synthetic satellite SST observations (which dominate the station data) improves all of the prognostic variables

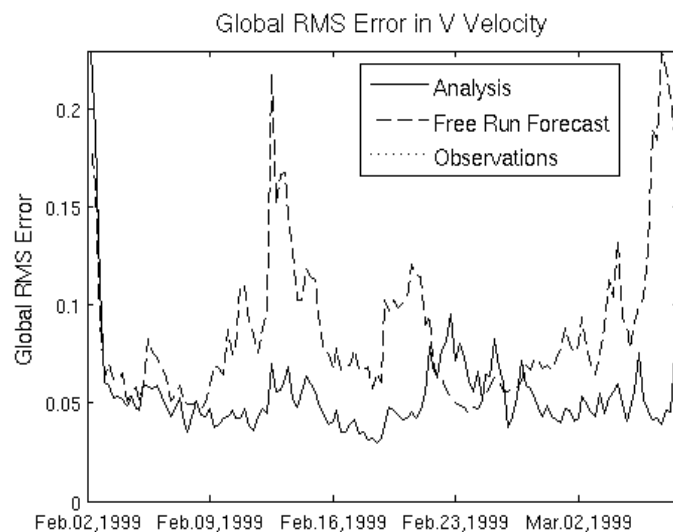
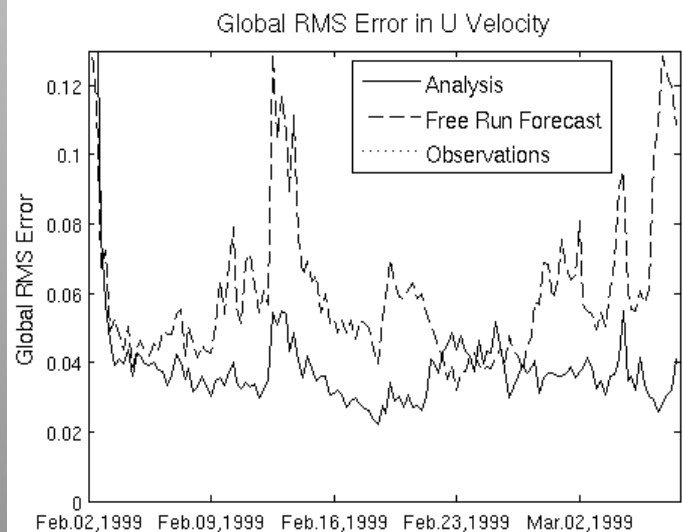
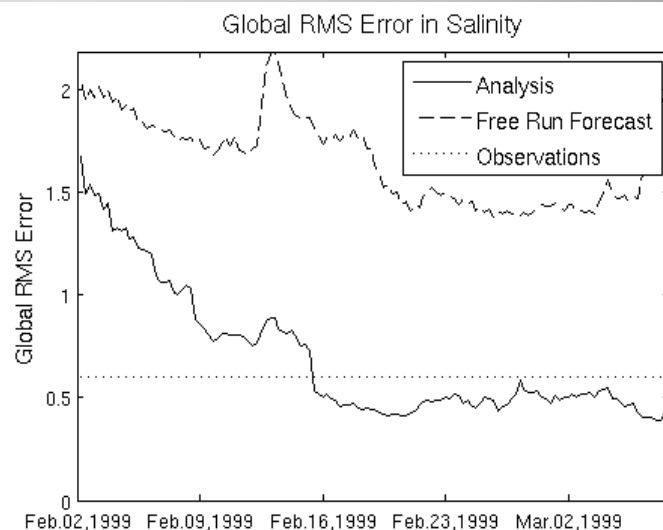
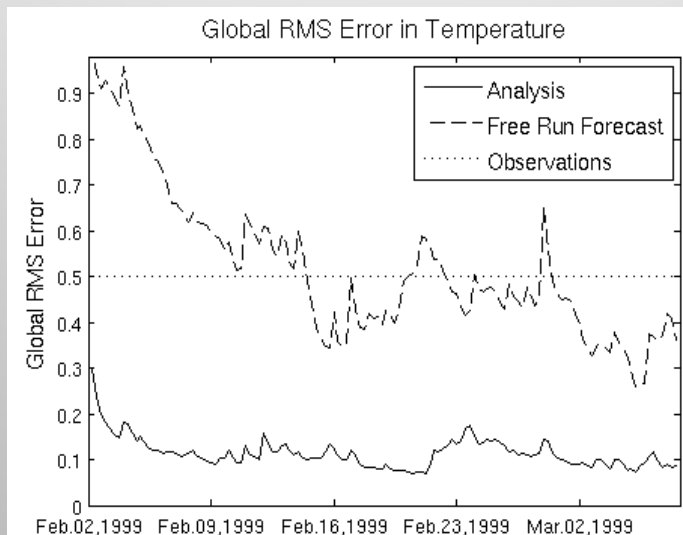
This is very promising for starting the assimilation of real data



# SST+Station Salinity Observations

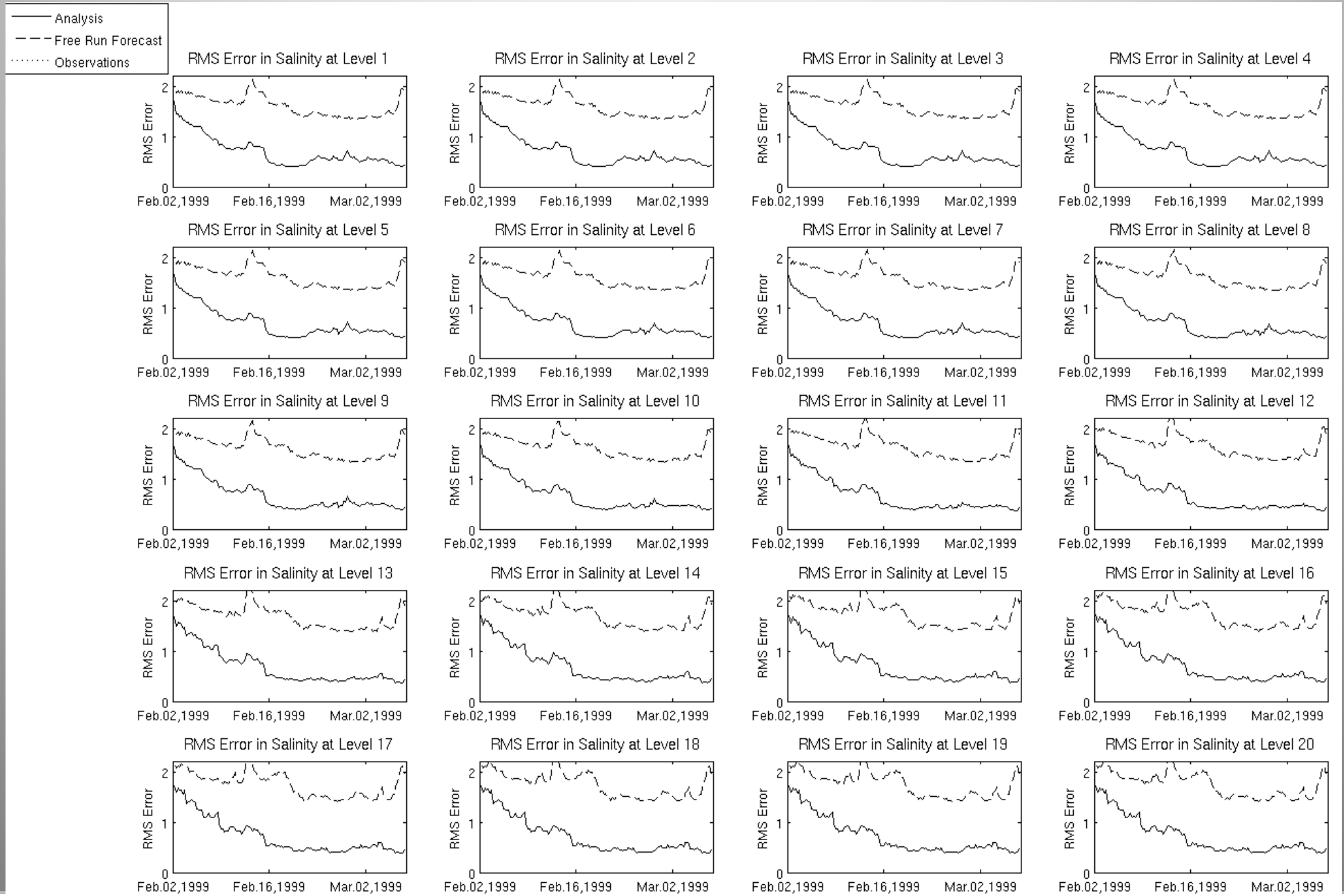
- The addition of salinity observations causes a sharp drop in salinity RMS at the time of the injection of data

○ There is little change in the temperature RMSE and a small improvement in currents as well.



# Realistic Observations

RMSE at all levels shows similar improvement

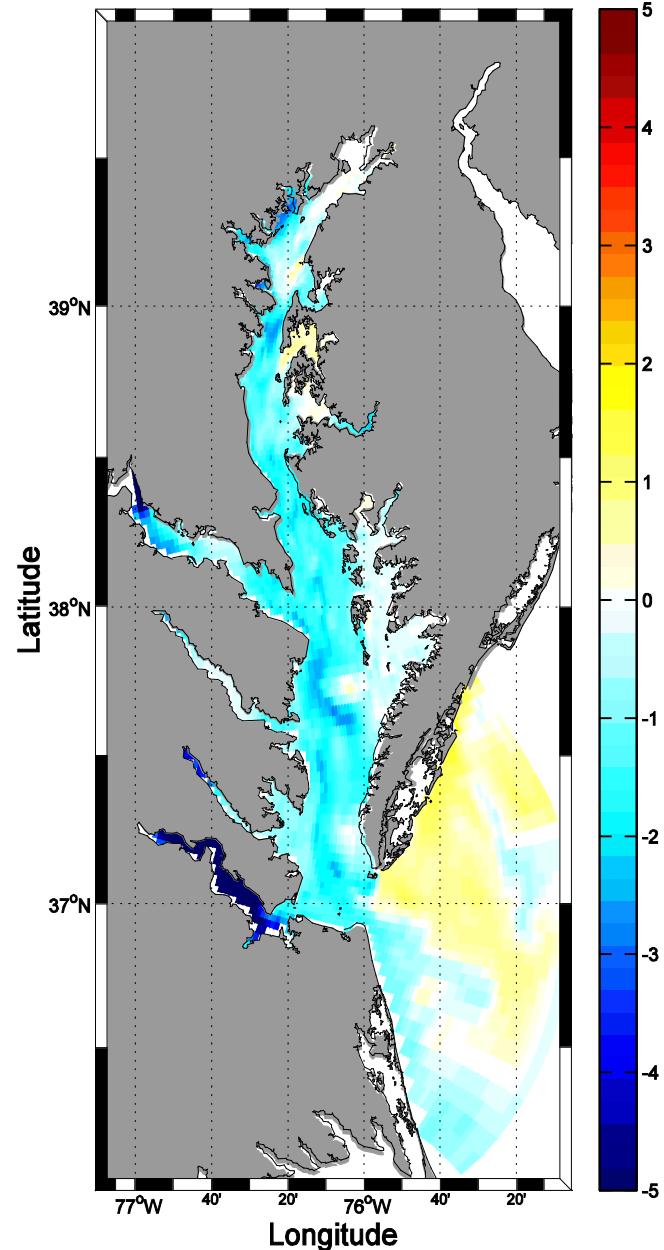


# Realistic Observations

- Error is lower in the main stem of the Bay after 1 week in salinity

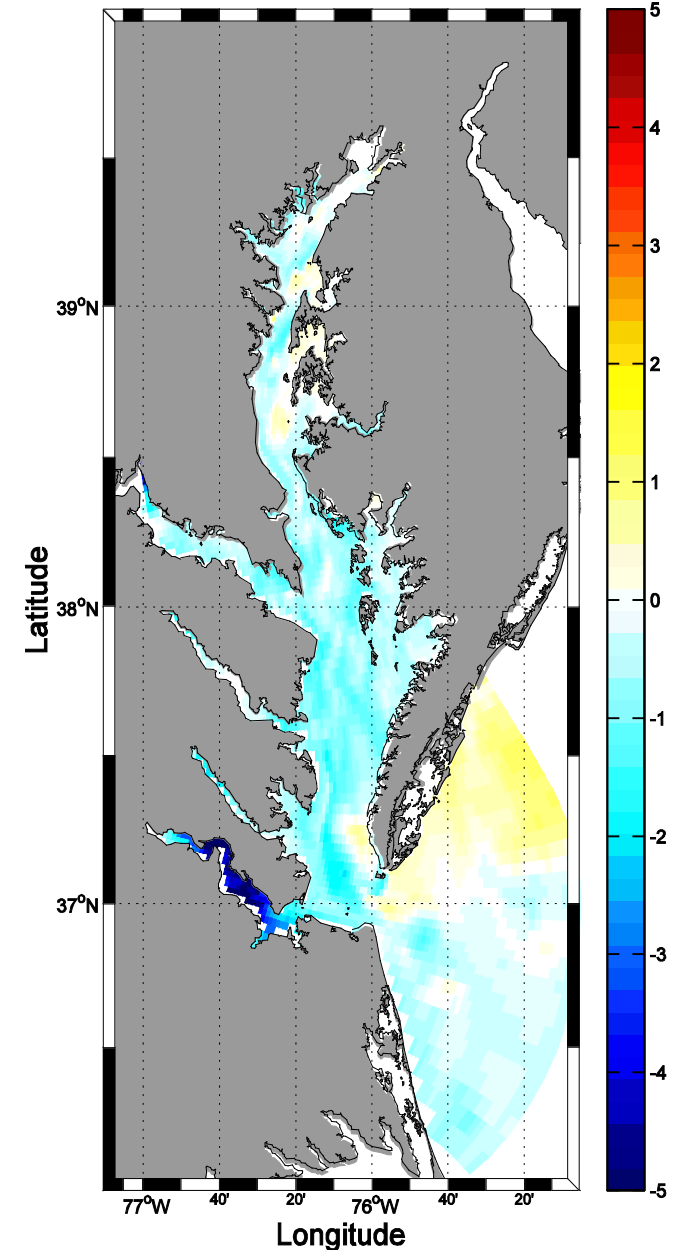
Freerun

SSS (psu) on Feb.09,1999 00:00:00



Analysis

SSS (psu) on Feb.09,1999 00:00:00

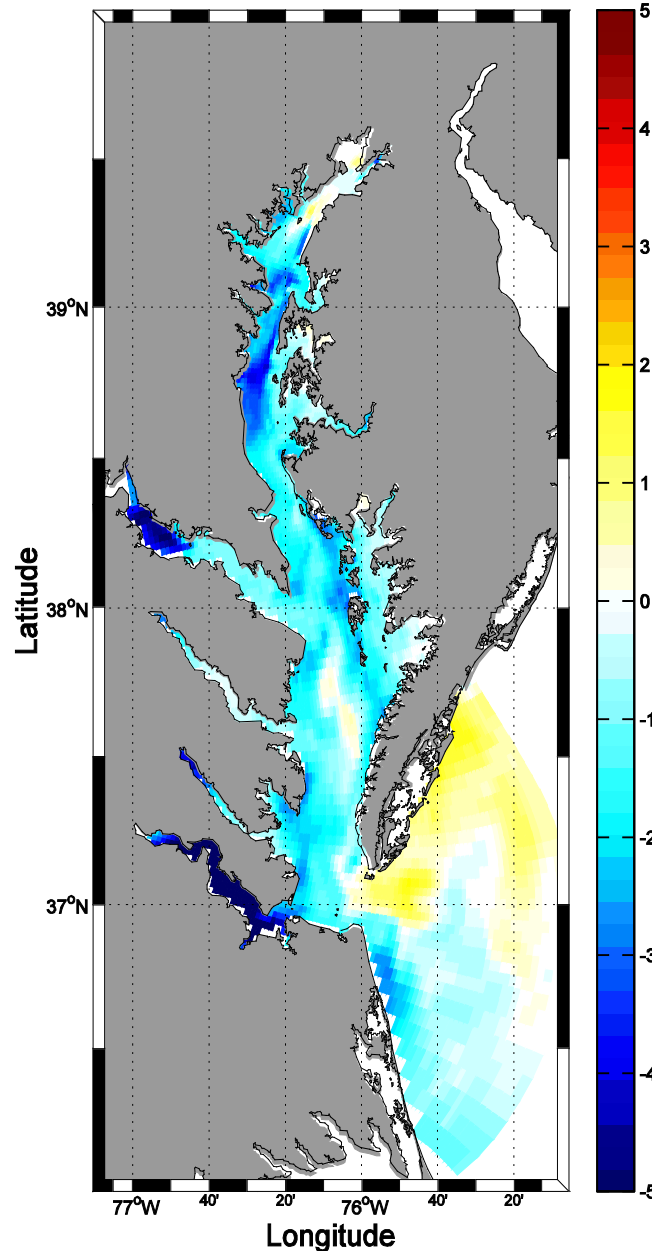


# Realistic Observations

- After 2 weeks difference in error is more dramatic

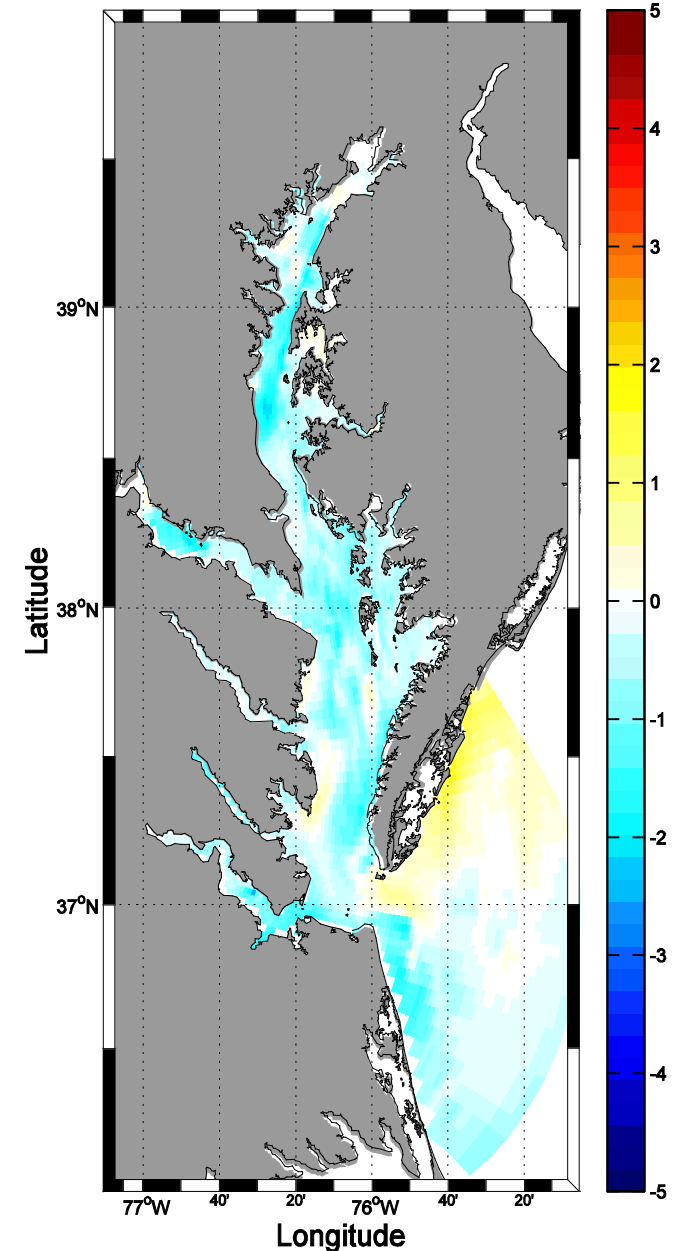
Freerun

SSS (psu) on Feb.16,1999 00:00:00



Analysis

SSS (psu) on Feb.16,1999 00:00:00



# Summary

- Forcing fields are a very important source of error in the Chesapeake
- The LETKF (when modified slightly) is able to correct errors caused by imperfect forcing using idealized observations
- Improvement is also seen with a realistic distribution of observations, which is promising for the switch over to real data
- We are starting with a reanalysis of the year 2003, but hope to move on to a reanalysis covering the data record and also see how the physical assimilation improves biological and chemical tracer fields
- We also can investigate the impact of new observations as well

END